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PTO/SB/05 (03-01)
Approved for use through 10/31/2002 OMB 0651-0032
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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P1185
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First Inventor	JOHN D. HATCH
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First inventor	METHOD & APPARATUS FOR PRODUCING
Title	FUNCTIONALITY...OPERATING SYSTEM

Express Mail Label No. EL868560359US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | | | |
|----|-------------------------------------|---|---------------------------|
| 1. | <input checked="" type="checkbox"/> | Fee Transmittal Form (e.g., PTO/SB/17)
<i>(Submit an original and a duplicate for fee processing)</i> | |
| 2. | <input type="checkbox"/> | Applicant claims small entity status.
See 37 CFR 1.27. | |
| 3. | <input checked="" type="checkbox"/> | Specification
<i>(preferred arrangement set forth below)</i>
<ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fee sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure | [Total Pages <u>28</u>] |
| 4. | <input checked="" type="checkbox"/> | Drawing(s) (35 U.S.C. 113) | [Total Sheets <u>3</u>] |
| 5. | | Oath or Declaration | [Total Pages <u>2</u>] |
| a. | <input checked="" type="checkbox"/> | Newly executed (original or copy) | |
| b. | <input type="checkbox"/> | Copy from prior application (37 CFR 1.63 (d))
<i>(for continuation/divisional with Box 18 completed)</i> | |
| i. | <input type="checkbox"/> | <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(c)(2) and 1.33(s). | |
| 6. | <input type="checkbox"/> | Application Data Sheet. See 37 CFR 1.76 | |

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.7(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(2)(2)(b)(i). Applicant must attach form PTO/SB/35
or its equivalent. ☒ Check \$4149 ☒ \$710.00.
17. ☒ Other: ☒ Check \$4150, \$40.00.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____/_____


Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label  or ☐ Correspondence address below

Name	LARIVIERE, GRUBMAN & PAYNE, LLP
------	---------------------------------

Address	P.O. BOX 3140				
City	MONTEREY	State	CA	Zip Code	93942
Country	USA	Telephone	831-649-8800	Fax	831-649-8835

Name (Print/Type)	ELIZABETH S. SUSSEX	Registration No. (Attorney/Agent)	46,391
Signature	<i>Elizabeth S. Sussex</i>	Date	8/21/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO, Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMITTAL for FY 2001

Petent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 750.00

Complete If Known

Application Number **FILED HEREWITH**
 Filing Date **August 21, 2001**
 First Named Inventor **JOHN D. HATCH**
 Examiner Name **To Be Assigned**
 Group Art Unit **To Be Assigned**
 Attorney Docket No. **P1185**

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
 Deposit Account Name
- ☐ charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status See 37 CFR 1.127
2. ☒ Payment Enclosed: #4149 & #4150
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
	(S)	(S)		
101 710 201 355			Utility filing fee	710.00
106 320 206 160			Design filing fee	
107 480 207 245			Plant filing fee	
108 710 208 355			Reissue filing fee	
114 150 214 75			Provisional filing fee	
SUBTOTAL (1) (\$)				710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	20** = 0	18.00	0.00
3	3*** = 0	80.00	0.00
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee Code	Fee Code	Fee Code	Fee Description
(S)	(S)	(S)	(S)	
103 18 203 9				Claims in excess of 20
102 80 202 40				Independent claims in excess of 3
104 270 204 135				Multiple dependent claim, if not paid
109 80 209 40				** Reissue independent claims over original patent
110 18 210 9				** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)				0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
(S)	(S)	(S)	(S)		
105 130 205 65				Surcharge - late filing fee or oath	
127 50 227 25				Surcharge - late provisional filing fee or cover sheet	
139 130 139 130				Non-English specification	
147 2,520 147 2,520				For filing a request for ex parte reexamination	
112 920* 112 920*				Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*				Requesting publication of SIR after Examiner action	
115 110 215 55				Extension for reply within first month	
116 390 216 195				Extension for reply within second month	
117 890 217 445				Extension for reply within third month	
118 1,390 218 695				Extension for reply within fourth month	
128 1,850 228 945				Extension for reply within fifth month	
119 310 219 155				Notice of Appeal	
120 310 220 155				Filing a brief in support of an appeal	
121 270 221 135				Request for oral hearing	
138 1,510 138 1,510				Petition to institute a public use proceeding	
140 110 240 55				Petition to revive - unavoidable	
141 1,240 241 620				Petition to revive - unintentional	
142 240 242 620				Utility issue fee (or reissue)	
143 440 243 220				Design issue fee	
144 600 244 300				Plant issue fee	
122 130 122 130				Petitions to the Commissioner	
123 50 123 50				Processing fee under 37 CFR 1.17(q)	
126 180 126 180				Submission of Information Disclosure Sheet	
581 40 581 40				Recording each patent assignment per property (times number of properties)	40.00
146 710 246 355				Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355				For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355				Request for Continued Examination (RCE)	
169 900 169 900				Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3) (\$)					40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print type) **Elizabeth S. Susser** Registration No. **46,391** Complete (if applicable)
 Signature *Elizabeth S. Susser* Date **August 21, 2001**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

"Express Mail" mailing label number: EL868560359US

Date of Deposit: August 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington D.C. 20231.

Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: Patricia Aguillon

PATENT
Docket No.:P1185

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: JOHN D. HATCH
ADAM ALMOG
STEVEN YEE
ANTHONY D. CAO

SERIAL NO.: FILED HEREWITH

FILED: AUGUST 21, 2001

TITLE: METHOD AND APPARATUS FOR PRODUCING
FUNCTIONALITY AND USER INTERFACES FOR
DEVICES HAVING AN EMBEDDED OPERATING
SYSTEM

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

In connection with the above-referenced patent application, transmitted herewith are the following:

1. Utility Patent Application Transmittal (Form PTO/SB/05) (1 page);
2. Fee Transmittal for FY 2001 (Form PTO/SB/17) (1 page);
3. Specification (23 pages); Claims (4 pages); Abstract (1 page);
4. Formal Drawings (3 sheets, 3 figures);
5. Declaration and Power of Attorney (2 pages);
6. Recordation Form Cover Sheet (1 page);

7. Assignment Agreement (4 pages);

8. Check Nos.4149 in the amount of \$710.00 and 4150 in the amount of \$40.00 to cover filing fee and recordation fee respectively; and

CLAIMS AS FILED BY A LARGE ENTITY

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	20-20 =	0	x 18.00	0.00
INDEPENDENT CLAIMS	3-3 =	0	x \$80.00	0.00
ANY MULTIPLE CLAIMS	0		0	
TOTAL FILING FEE				\$710.00

9. Post card in acknowledgment of receipt of all transmitted materials.

Please date-stamp the enclosed post cards and return same to the undersigned in acknowledgment of receipt of all transmitted material.

Respectfully submitted,



Elisabeth S. Sussex
Reg No.46,391

ESS/rm
August 21, 2001
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